

Substitute Form PTO-1449 (Mandatory)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 00216-660001	Application No. 10/798,525
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Evan Pennel et al.	
		Filing Date March 11, 2004	Group Art Unit 3724

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
18P	AA	D444,267 S	06/26/2001	Gray	—	—	
	AB	D467,387 S	12/17/2002	Wonderley	—	—	
	AC	D458,410 S	06/04/2002	Shepperson	—	—	
	AD	D446,884 S	08/21/2001	Kohring et al.	—	—	
	AE	D445,958 S	07/31/2001	Dansreau et al.	—	—	
	AF	Re.36,816	8/15/2000	Apprille, Jr. et al.	—	—	
	AG	DES. 109,694	05/17/1938	L. Berk	—	—	
	AH	DES. 126,066	03/25/1941	D. A. Birrell	—	—	
	AI	DES. 137,220	02/08/1944	W. E. Brownback	—	—	
	AJ	DES. 160,536	10/17/1950	A. F. Reilly	—	—	
	AK	DES. 204,672	05/10/1966	Martin Glaberson	—	—	
	AL	DES. 215,915	11/04/1969	Wayne M. Bikien	—	—	
	AM	DES. 215,995	11/11/1969	Irving Harper	—	—	
	AN	DES. 216,657	02/24/1970	Kenneth H. Grange	—	—	
	AO	DES. 216,658	02/24/1970	Harold George Edmondson	—	—	
	AP	DES. 217,752	06/02/1970	James L. Barry et al.	—	—	
	AQ	DES. 219,699	01/05/1971	Norman D. Poisson	—	—	
	AR	DES. 228,315	09/04/1973	Martin Glaberson	—	—	
	AS	DES. 234,648	03/25/1975	Paul A. Braginetz	—	—	
	AT	DES. 239,060	03/02/1976	Arnold E. Rees	—	—	
	AU	DES. 239,742	04/27/1976	Arnold E. Rees	—	—	
	AV	DES. 241,382	09/07/1976	Graham R. Jones	—	—	
	AW	DES. 271,531	11/22/1983	Byrne	—	—	
	AX	DES. 271,625	11/29/1983	Gray	—	—	
	AY	DES. 303,023	08/22/1989	Sinclair	—	—	
	AZ	DES. 306,915	03/27/1990	Luzenberg	—	—	
18P	AAA	DES. 307,334	04/17/1990	Ferraro et al.	—	—	

Examiner Signature <i>18 Payer</i>	Date Considered <i>2/27/06</i>
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 00216-660001	Application No. 10/798,525
	Applicant Evan Pennel et al.		
	Filing Date March 11, 2004	Group Art Unit 3724	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
18P	ABB	DES. 310,889	09/25/1990	Comcialdi	—	—	
	ACC	DES. 312,143	11/13/1990	Schwartz	—	—	
	ADD	DES. 313,672	01/08/1991	Tiegs	—	—	
	AEE	DES. 318,142	07/09/1991	Falchi	—	—	
	AFF	DES. 327,550	06/30/1992	Chen et al.	—	—	
	AGG	DES. 343,026	01/04/1994	Dallaire et al.	—	—	
	AHH	DES. 346,042	04/12/1994	Chu	—	—	
	AII	DES. 353,020	11/29/1994	Conti	—	—	
	AJJ	DES. 363,141	10/10/1995	Burout et al.	—	—	
	AKK	DES. 364,706	11/28/1995	Corcoran	—	—	
	ALL	DES. 369,437	04/30/1996	Armbruster et al.	—	—	
	AMM	DES. 392,417	03/17/1998	Gray	—	—	
	ANN	DES. 392,418	03/17/1998	Gray	—	—	
	AOO	DES. 396,120	07/14/1998	Gray	—	—	
	APP	DES. 397,512	08/25/1998	Gray	—	—	
	AQQ	DES. 403,112	12/22/1998	Engel	—	—	
	ARR	DES. 404,527	01/19/1999	Gray	—	—	
	ASS	DES. 406,393	03/02/1999	Gray	—	—	
	ATT	DES. 407,849	04/06/1999	Gray	—	—	
	AUU	DES. 407,850	04/06/1999	Shurtleff	—	—	
	AVV	DES. 407,851	04/06/1999	Shurtleff	—	—	
	AWW	DES. 408,101	04/13/1999	Shurtleff	—	—	
	AXX	DES. 416,108	11/02/1999	Shurtleff et al.	—	—	
	AYY	DES. 416,646	11/16/1999	Pinchuk	—	—	
	AZZ	DES. 417,034	11/23/1999	Shurtleff	—	—	
	AAAA	DES. 422,117	03/28/2000	Morta	—	—	
18P	ABBB	DES. 429,034	08/01/2000	Shurtleff	—	—	

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U.S. Patent Documents							
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CP	ACCC	DES. 431,680	10/03/2000	Wagstaff	/	/	
	ADDD	939,935	11/09/1909	Wilcox	/	/	
	AEEE	1,290,664	01/07/1919	Russell et al	/	/	
	AFFF	1,479,690	01/01/1924	Angst	/	/	
	AGGG	1,777,914	10/07/1930	E. W. Davis	/	/	
	AHHH	1,821,825	09/01/1931	J. M. Zumwalt	/	/	
	AIHH	1,853,867	04/12/1932	L. G. Love	/	/	
	AJJJ	1,890,334	12/06/1932	Muros	/	/	
	AKKK	1,911,996	03/16/1933	Gaieman et al.	/	/	
	ALLL	1,999,060	04/23/1935	G.W. Rydner	/	/	
	AMMM	2,043,124	06/02/1936	T. L. Smith et al.	/	/	
	ANNN	2,052,395	08/25/1936	E. Geissler	/	/	
	AOOO	2,078,150	04/20/1937	J. Masip et al.	/	/	
	APPP	2,083,172	06/08/1937	R. L. Smith	/	/	
	AQQQ	2,094,240	09/28/1937	G. L. Herrick et al.	/	/	
	ARRR	2,118,498	05/24/1935	J. E. Drew	/	/	
	ASSS	2,132,293	10/04/1938	H. Harrer	/	/	
	ATTT	2,141,339	12/27/1938	P. Bauerle	/	/	
	AUUU	2,263,885	11/25/1941	W. G. McGauley	/	/	
	AVVV	2,309,549	01/26/1943	W. R. Swann	/	/	
	AWWW	2,353,599	07/11/1944	L. H. Swann et al.	/	/	
	AXXX	2,662,281	12/15/1953	M. J. Cerino	/	/	
	AYYY	2,704,397	03/22/1955	M. C. Turgi	/	/	
	AZZZ	2,720,695	10/18/1955	C. Sabiers	/	/	
	AAAAA	2,807,084	09/24/1957	Harman	/	/	
	ABBBB	2,837,820	06/10/1958	W. Ostrowski	/	/	
CP	ACCCC	2,848,806	08/26/1958	M. J. Shnitzler et al.	/	/	

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(37 CFR §1.98(b))			

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
18P	ADDDD	2,863,213	12/09/1958	A. J. Rypysc	—	—	
	AEEEE	2,934,776	05/03/1960	G. S. Clemens	—	—	
	AFFFF	3,061,926	11/06/1962	J. Fjeran	—	—	
	AGGGG	3,111,757	11/26/1963	N. Dubofsky	—	—	
	AHHHH	3,137,939	06/23/1964	G. A. Waldeck	—	—	
	AIIII	3,172,202	03/09/1965	C. W. Sooter	—	—	
	AJJJJ	3,259,978	07/12/1966	T. E. Weichselbaum	—	—	
	AKKKK	3,299,508	01/24/1967	L. K. Kuhn	—	—	
	ALLLL	3,358,368	12/19/1967	L. K. Kuhn	—	—	
	AMMMM	3,383,764	05/21/1968	L. W. Sachs	—	—	
	ANNNN	3,391,458	07/09/1968	R. L. Karr	—	—	
	AOOOO	3,412,465	11/26/1968	J. W. Andersen	—	—	
	APPPP	3,413,720	12/03/1968	R. E. Mullen	—	—	
	AQQQQ	3,593,416	07/20/1971	Edson	—	—	
	ARRRR	3,626,591	12/14/1971	E. Robey	—	—	
	ASSSS	3,703,765	11/28/1972	Perez	—	—	
	ATTTT	3,795,955	03/12/1974	Dorian, Jr.	—	—	
	AUUUU	3,795,979	03/12/1974	Perry	—	—	
	AVVVV	3,810,305	05/14/1974	Perry	—	—	
	AWWWW	3,823,471	07/16/1974	Stone	—	—	
	AXXXX	3,972,115	08/03/1976	Ross	—	—	
	AYYYY	4,017,970	04/19/1977	Williams	—	—	
	AZZZZ	4,270,268	06/02/1981	Jacobson	—	—	
	AAAAA	4,281,456	08/04/1981	Douglass, et al.	—	—	
	ABBBB	4,285,124	08/25/1981	Diakonov	—	—	
	ACCCC	4,378,633	04/05/1983	Jacobson	—	—	
18P	ADDDD	4,378,634	04/05/1983	Jacobson	—	—	

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U.S. Patent Documents							
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<i>ISP</i>	AEEEEEE	4,442,598	04/17/1984	Jacobson	<i>/</i>	<i>/</i>	
	AFFFFF	4,446,619	05/08/1984	Jacobson	<i>/</i>	<i>/</i>	
	AGGGGC	4,488,357	12/18/1984	Jacobson	<i>/</i>	<i>/</i>	
	AHHHHH	4,492,024	01/08/1985	Jacobson	<i>/</i>	<i>/</i>	
	AIHHH	4,493,025	01/08/1985	Bachman, et al.	<i>/</i>	<i>/</i>	
	AJJJJJ	4,498,235	02/12/1985	Jacobson	<i>/</i>	<i>/</i>	
	AKKKKK	4,501,066	02/26/1985	Sceberras	<i>/</i>	<i>/</i>	
	ALLLLL	4,534,110	08/13/1985	Glass	<i>/</i>	<i>/</i>	
	AMMMMM	4,535,537	08/20/1985	Ferraro et al.	<i>/</i>	<i>/</i>	
	ANNNNN	4,551,916	11/12/1985	Jacobson	<i>/</i>	<i>/</i>	
	AOOOOC	4,573,266	03/04/1986	Jacobson	<i>/</i>	<i>/</i>	
	APPPPP	4,586,255	05/06/1986	Jacobson	<i>/</i>	<i>/</i>	
	AQQQQQ	4,621,424	11/11/1986	Jacobson	<i>/</i>	<i>/</i>	
	ARRRRR	4,809,432	03/07/1989	Schauble	<i>/</i>	<i>/</i>	
	ASSSSS	4,831,731	05/23/1989	Eltis	<i>/</i>	<i>/</i>	
	ATTTTT	4,901,437	02/20/1990	Iten	<i>/</i>	<i>/</i>	
	AUUUUU	4,903,405	02/27/1990	Halvey	<i>/</i>	<i>/</i>	
	AVVVVV	4,970,784	11/20/1990	Althaus et al.	<i>/</i>	<i>/</i>	
	AWWWWW	4,993,154	02/19/1991	Radcliffe	<i>/</i>	<i>/</i>	
	AXXXXX	5,018,274	05/28/1991	Trotta	<i>/</i>	<i>/</i>	
	AYYYYY	5,027,511	07/02/1991	Miller	<i>/</i>	<i>/</i>	
	AZZZZZ	5,029,390	07/09/1991	Reeves	<i>/</i>	<i>/</i>	
	AAAAAA	5,063,667	11/12/1991	Jacobson	<i>/</i>	<i>/</i>	
	ABBBBB	5,067,238	11/26/1991	Miller et al.	<i>/</i>	<i>/</i>	
	ACCCCC	5,113,585	05/19/1992	Rogers et al.	<i>/</i>	<i>/</i>	
	ADDDDD	5,157,834	10/27/1992	Chen et al.	<i>/</i>	<i>/</i>	
<i>ISP</i>	AEEEEEE	5,167,069	12/1992	Quinn	<i>/</i>	<i>/</i>	

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U.S. Patent Documents							
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18P	AFFFFF	5,199,173	04/06/1993	Hegemann et al.	—	—	
	AGGGGG	5,205,040	04/27/1993	Werner	—	—	
	AHHHHH	5,236,439	08/17/1993	Kozikowski	—	—	
	AIIIII	5,269,062	12/14/1993	Dallaire et al.	—	—	
	AJJJJJ	5,282,814	02/01/1994	Srivastava	—	—	
	AKKKKK	5,307,564	05/03/1994	Schoenberg	—	—	
	ALLLLL	5,333,383	08/02/1994	Ferraro	—	—	
	MMMMM	5,365,881	11/22/1994	Sporn	—	—	
	NNNNNN	5,454,164	10/03/1995	Yin et al.	—	—	
18P	AOOOOO	5,522,137	06/04/1996	Andrews	—	—	
	<del>APPPPP</del>	<del>5,531,401</del>	<del>10/04/1994</del>	<del>Werner</del>			
18P	AQQQQQ	5,533,263	07/09/1996	Gilder	—	—	
	ARRRRR	5,560,106	10/01/1996	Armbruster et al.	—	—	
	ASSSSS	5,575,068	11/19/1996	Pedersen	—	—	
	ATTTTT	5,579,580	12/03/1996	Althaus, et al.	—	—	
	AUUUUU	5,687,485	11/18/1997	Shurtleff et al.	—	—	
	AVVVVV	5,704,127	01/06/1998	Cordio	—	—	
	WWWWW	5,761,814	06/09/1998	Anderson et al.	—	—	
	AXXXXX	5,784,790	07/28/1998	Carson, III et al	—	—	
	AYYYYY	5,794,354	08/18/1998	Gilder	—	—	
	AZZZZZ	5,813,293	09/29/1998	Apprille, Jr. et al.	—	—	
	AAAAAA	5,822,869	10/20/1998	Metcalf et al.	—	—	
	BBBBBB	5,855,071	06/05/1999	Apprille, Jr. et al.	—	—	
	CCCCCC	5,865,189	02/02/1999	Andrews	—	—	
	DDDDDD	5,915,791	06/29/1999	Yin et al.	—	—	
	EEEEEE	5,918,369	07/06/1999	Apprille, Jr. et al.	—	—	
18P	AFFFFF	5,956,851	09/28/1999	Apprille, Jr. et al.	—	—	

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① Wrong patent number

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18P	GGGGGG	6,009,624	01/04/2000	Apprille, Jr. et al.	—	—	
	HHHHHH	6,026,577	02/22/2000	Ferraro	—	—	
	IIIIIII	6,029,354	02/29/2000	Apprille, Jr. et al.	—	—	
	AJJJJJJ	6,035,537	03/14/2000	Apprille, Jr. et al.	—	—	
	KKKKKK	6,044,542	04/04/2000	Apprille, Jr. et al.	—	—	
	LLLLLL	6,052,903	04/25/2000	Metcalf et al.	—	—	
	MMMMM	6,141,875	11/07/2000	Andrews	—	—	
	NNNNNN	6,145,201	11/14/2000	Andrews	—	—	
	OOOOOO	6,185,823	02/13/2001	Brown et al.	—	—	
	APPPPPP	6,192,586	02/27/2001	Metcalf et al.	—	—	
	QQQQQQ	6,212,777	04/10/2001	Gilder et al.	—	—	
	RRRRRR	6,216,345 B1	04/17/2001	Andrews	—	—	
	SSSSSS	6,237,232	05/29/2001	Petricca et al.	—	—	
	TTTTTT	6,272,061	08/07/2001	Kato, et al.	—	—	
	UUUUUU	6,276,061	08/21/2001	Rozenkranc	—	—	
	VVVVVV	6,418,623 B1	07/16/2002	Marcarelli	—	—	
	WWWW	6,449,849	09/17/2002	Hackerman	—	—	
	XXXXXX	6,526,660	03/04/2003	MacNeil	—	—	
	YYYYYY	6,598,303 B2	07/29/2003	Bosy et al.	—	—	
	ZZZZZZ	6,601,272 B2	08/05/2003	Stvartak et al.	—	—	
	AAAAAA	6,651,342	11/25/2003	Walker, Jr.	—	—	
18P	BBBBBB	6,675,479	01/13/2004	Walker, Jr. et al.	—	—	

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
18P	CCCCCC	CH 416377	06/30/1966	Switzerland	—	—	Partial Translation	
18P	DDDDDD	DE 206980	02/27/1908	Germany	—	—	Translation	

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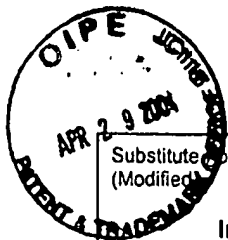
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							Yes	No
12P	EEEEEEE	DE 1 949 400	08/20/1970	Germany			Abstract	
18P	AAAAAAA	DE 43 13 371	10/28/1993	Germany			Abstract	
15P	GGGGGGG	FR 2 632-886	12//22/1989	France			Abstract	
18P	HHHHHHH	GB 1 587 317	04/01/1981	Great Britain				
18P	IIIIIIII	GB 1 591 095	06/17/1981	Great Britain				
18P	AJJJJJJJ	JP 3-88467	4/12/1991	Japan			Abstract	
18P	KKKKKKK	JP 4-83176	07/20/1992	Japan			Abstract	
18P	LLLLLLL	JP 49-76885	07/03/1974	Japan			Abstract	
18P	MMMMMM	JP 52-137991	10/19/1997	Japan			Abstract	
18P	NNNNNN	JP 56-43984	04/22/1981	Japan			Abstract	
18P	OOOOOO	JP 7-506503	07/20/1995	Japan			Equivalent to US 5,575,068	
18P	PPPPPPP	NL 7603885	10/17/1977	Netherlands			Abstract	
18P	QQQQQQ	WO 94/11163	05/26/1994	WIPO				
18P	RRRRRR	WO 96/01171	01/18/1996	WIPO				
18P	SSSSSSS	WO 97/37818	10/16/1997	WIPO				
18P	TTTTTTT	WO 97/37819	10/16/1997	WIPO				
18P	UUUUUU	WO 99/14020	03/25/1999	WIPO				

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	VVVVVV	
	WWWWWW	
	XXXXXX	
	YYYYYY	

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Applicant  
Evan Pennel et al.Filing Date  
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7724**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
<u>isp</u>	AA	1,734,554	11/1929	Behrman	—	—	
	AB	2,275,517	03/1942	Fay	—	—	
	AC	2,593,307	04/1952	Jacobson	—	—	
	AD	3,600,804	08/1971	Brown	—	—	
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	AF	5,661,907	09/1997	Apprille, Jr.	—	—	
	AG	5,701,788	12/1997	Wilson et al.	—	—	
	AH	5,787,586	08/04/1998	Apprille, Jr., et al.	—	—	
	AI	6,629,475	10/07/2003	Neamtu, et al.	—	—	
<u>isp</u>	AJ	2002/0144404	10/10/2002	Gilder et al.			
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
<u>isp</u>	AL	GB 548648	10/1942	Great Britain				
<u>isp</u>	AM	WO 98/05478	02/1998	WIPO				
	AN							
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature

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Date Considered

2/27/06

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)